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Technology Center 2100

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# AMENDMENT FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision,*
*Small Entity payments must be supported by a small entity statement,*
*otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*
*See 37 C.F.R. §§ 1.27 AND 1.28*

| TOTAL AMOUNT OF PAYMENT | ($) 84.00 |
|---|---|

### Complete if Known

| | |
|---|---|
| Application Number | 09/960,006 |
| Filing Date | September 20, 2001 |
| First Named Inventor | Surojit Chatterjee, et al. |
| Examiner Name | Not yet available |
| Group/Art Unit | Not yet available |
| Attorney Docket No. | 50277-1632 |

## METHOD OF PAYMENT (check one)

1. ☒ Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number: 50-1302

Deposit Account Name: Hickman Palermo Truong & Becker, LLP

2. ☒ Payment Enclosed:
   ☒ Check ☐ Money Order ☐ Other

3. ☐ Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 101 | 740 | 201 | 370 | Utility filing fee | |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |
| | | | | SUBTOTAL (1) | ($) 0.00 |

### 2. EXTRA CLAIM FEES

| | Highest Paid Claims | Extra Claims | | Fee from Below | Fee Paid |
|---|---|---|---|---|---|
| Total Claims | 13 | - 20= | 0 | X 18.00 = | 0.00 |
| Independent Claims | 4 | - 3**= | 1 | X 84.00 = | 84.00 |
| Multiple Dependent | | | | = | |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description |
|---|---|---|---|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |
| | | | | SUBTOTAL (2) ($) 84.00 |

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | |

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid | SUBTOTAL (3) ($) 0.00

### SUBMITTED BY

| Name (Print/Type) | Marcel K. Bingham | Registration No. (Attorney/Agent) | 42,327 | Telephone | (408) 414-1080 |
|---|---|---|---|---|---|
| Signature | | | | Date | October 31, 2001 |

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#2

50277-1632

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:                                    Group Art Unit No.: NYA

    Surojit Chatterjee, et al.                          Examiner: NYA

Serial No.:  09/960,006

Filed on:  September 20, 2001

For:    PROVIDING AN EXCLUSIVE VIEW OF A
        SHARED RESOURCE

## PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C.  20231

Sir:

        Prior to examination of the above-referenced application, please amend the application as follows:

IN THE CLAIMS:

        Please amend claim 11 as follows. Please add new claims 12 and 13.

1    11.    (Amended) A method of managing a shared object in an object-oriented

2        environment, the method comprising the steps of:

3        generating only a single instance of said shared object in response to attempts by a

4            plurality of clients to create an instance of a particular object belonging to

5            a class to which said shared object belongs;

6        a first client of said plurality of clients invoking a first method of said shared

7            object to register an observer object to notify about an event related to

8            execution of a particular operation;

OID 2000-114-01               1